

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Attorney Docket No.</td> <td style="width: 40%;">614.1948</td> </tr> <tr> <td>First Named Inventor or Application Identifier:</td> <td></td> </tr> <tr> <td>Takashi SHINZAKI et al.</td> <td></td> </tr> <tr> <td>Express Mail Label No.</td> <td></td> </tr> </table>	Attorney Docket No.	614.1948	First Named Inventor or Application Identifier:		Takashi SHINZAKI et al.		Express Mail Label No.	
Attorney Docket No.	614.1948								
First Named Inventor or Application Identifier:									
Takashi SHINZAKI et al.									
Express Mail Label No.									

PTO
S. H. S. S.
09/27/99
56/17/99

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> Fee Transmittal Form 2. <input checked="" type="checkbox"/> Specification, Claims & Abstract [Total Pages: <u>51</u>] 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets: <u>18</u>] 4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages: <u>3</u>] <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) <ol style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 			
ACCOMPANYING APPLICATION PARTS			
<ol style="list-style-type: none"> 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, status still proper and desired. 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: 			
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u> </u>			
18. CORRESPONDENCE ADDRESS <table style="width: 100%;"> <tr> <td style="width: 50%;"> STAAS & HALSEY Attn: H. J. Staas 700 Eleventh Street, N.W., Suite 500 Washington, DC 20001 </td> <td style="width: 50%; vertical-align: top;"> Telephone: (202) 434-1500 Facsimile: (202) 434-1501 </td> </tr> </table>		STAAS & HALSEY Attn: H. J. Staas 700 Eleventh Street, N.W., Suite 500 Washington, DC 20001	Telephone: (202) 434-1500 Facsimile: (202) 434-1501
STAAS & HALSEY Attn: H. J. Staas 700 Eleventh Street, N.W., Suite 500 Washington, DC 20001	Telephone: (202) 434-1500 Facsimile: (202) 434-1501		

09271249 031799

SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT WE, Takashi Shinzaki, a citizen of Japan residing at Kawasaki-shi, Kanagawa, Japan and Yusaku Fujii, a citizen of Japan residing at Kawasaki-shi, Kanagawa, Japan have invented certain new and useful improvements in

AUTHENTICATION APPARATUS AND
COMPUTER-READABLE STORAGE MEDIUM

of which the following is a specification : -

TITLE OF THE INVENTION

AUTHENTICATION APPARATUS AND COMPUTER-
READABLE STORAGE MEDIUM

5 BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention generally relates to authentication apparatuses and storage mediums, and more particularly to an authentication apparatus
10 which authenticates individuals using biometric information and to a computer-readable storage medium which store a program for causing a computer to carry out such an authentication.

Recently, due to popular use of computer
15 networks, there are demands for techniques to confirm that a user is the person himself when making data access, approval, transfer, payment or the like on the computer network. When biometric information peculiar to the individual is used for
20 the authentication of a certain individual, it is possible to positively authenticate this certain individual because other individuals cannot have the biometric information of this certain individual.

In this specification, the biometric
25 information refers to information which is peculiar to an individual, different for each individual, and normally remains unchanged as long as the individual lives. This biometric information may be a fingerprint, voice pattern, palmar pattern, face,
30 iris, retina, vascular pattern, signature or the like.

2. Description of the Related Art

First, a description will be given of an example of a conventional authentication apparatus.
35 The biometric information such as the fingerprint is converted into electronic information such as image information by various sensors such as a CCD camera.

03271249.003700

This electronic information is subjected to various kinds of information processing so as to extract information which is used as a key that is required for a biometric information verification process.

- 5 Thereafter, biometric key information of each of registered individuals and the extracted biometric key information are verified, so as to authenticate the individual.

- 10 In the case where the fingerprint is used as the biometric information, for example, patterns of crests are used as the biometric information. Fine undulations or lines are formed at the human finger tips, and convex portions of such undulations are referred to as crests. The crests form a
- 15 pattern which is peculiar to each individual. In addition, when the crest is followed, various points exist, such as a branch point where the crest branches into a plurality of branches and an end point where the crest terminates. Distributions of
- 20 such branch points and end points are different for each individual, and such points on the crest are also referred to as feature points of the fingerprint. When verifying the fingerprints, the position, type and direction of the feature points
- 25 of the input fingerprint are compared with the position, type and direction of the registered fingerprints, and the sameness of the input fingerprint with one of the registered fingerprints is determined based on whether a match exists.

- 30 More particularly, the input fingerprint is obtained by first extracting a fingerprint image by a fingerprint sensor. The extracted fingerprint image is binarized and thinned, so as to obtain a thinned fingerprint image in which a width of the
- 35 crest is 1 pixel. The feature points of the fingerprint are extracted from this thinned fingerprint image. Generally, the extracted feature

2025 RELEASE UNDER E.O. 14176

points include erroneous feature points, and for this reason, a process is carried out to eliminate the erroneous feature points. Thereafter, the position, type, direction and the like of each of the feature points are collected as feature information, and this feature information is stored as input fingerprint data.

Registered fingerprint data are also obtained by carrying out processes similar to those used to obtain the input fingerprint data described above. The registered fingerprint data are registered in a storage unit or the like which forms a database.

In the authentication apparatus which uses the biometric information to confirm a user's identify when making a data access or log-in to a computer, it is necessary to register the biometric information such as the fingerprints in advance. However, if the registered biometric information is stolen, the stolen biometric information may be put to bad use, and there is also a possibility of violating privacy.

Compared to an authentication apparatus which uses a password or the like, the authentication apparatus which uses the biometric information makes it more difficult for a person to assume false identify. But on the other hand, in the case where the biometric information of the individuals is measured and used in the authentication apparatus, it is necessary to prevent privacy information of the individuals from leaking.

Conventionally, stand-alone type authentication apparatuses were the majority, but recently, the use of client-server type authentication apparatuses coupled to networks has increased. In the case of the client-server type authentication apparatus, it is necessary to

00071249.03799

register the biometric information of the individuals in a server, and transmit the biometric information via the network. For this reason, if the reliability of the server and/or the network is poor and the data management reliability is not sufficiently high, there was a possibility of the biometric information of the individuals becoming stolen or leaking to an unauthorized third party.

10 SUMMARY OF THE INVENTION

Accordingly, it is a general object of the present invention to provide a novel and useful authentication apparatus and storage medium, in which the problems described above are eliminated.

15 Another and more specific object of the present invention is to provide an authentication apparatus and storage medium which can positively prevent biometric information of individuals from becoming stolen or leaking to an unauthorized third party when carrying out identity authentication using the biometric information, even in a case where the data management reliability is not sufficiently high.

Still another object of the present invention is to provide an authentication apparatus comprising measuring means for measuring biometric information of an individual, converting means for carrying out a predetermined conversion process with respect to the biometric information so as to obtain converted biometric information, extracting means for extracting feature information from the converted biometric information so as to obtain extracted feature information, and verifying means for verifying the extracted feature information with respect to registered information which is registered in advance, so as to authenticate the individual. According to the authentication

apparatus of the present invention, the original biometric information cannot be read from the registered information by a third party even if the registered information leaks to the third party, because the registered information is made up of the feature information extracted from the converted biometric information. For this reason, it is possible to positively prevent privacy information leak caused by a leak of the biometric information of the individual.

A further object of the present invention is to provide an authentication apparatus comprising measuring means for measuring biometric information of an individual, extracting means for extracting feature information from the biometric information so as to obtain extracted feature information, converting means for carrying out a predetermined conversion process with respect to the extracted feature information so as to obtain converted extracted feature information, and verifying means for verifying the converted extracted feature information with respect to registered information which is registered in advance, so as to authenticate the individual. According to the authentication apparatus of the present invention, the original biometric information cannot be read from the registered information by a third party even if the registered information leaks to the third party, because the registered information is made up of the feature information which is extracted from the biometric information and converted. For this reason, it is possible to positively prevent privacy information leak caused by a leak of the biometric information of the individual.

Another object of the present invention is to provide an authentication apparatus for

09271249.024799

- 00271249-001799
- authenticating an individual by verifying input biometric information with respect to registered biometric information, comprising measuring means for measuring biometric information of the
- 5 individual, converting means for carrying out a predetermined conversion process with respect to the biometric information so as to obtain converted biometric information, and registering means for registering the converted biometric information.
- 10 According to the authentication apparatus of the present invention, it is possible to positively prevent privacy information leak caused by a leak of the biometric information of the individual.

- Still another object of the present
- 15 invention is to provide an authentication apparatus comprising measuring means for measuring biometric information of an individual, converting means for carrying out a predetermined conversion process with respect to the biometric information so as to obtain
- 20 converted biometric information, registering means for registering converted biometric information in advance, and verifying means for verifying the converted biometric information obtained by the converting means with respect to the converted
- 25 biometric information registered in the registering means, so as to authenticate the individual. According to the authentication apparatus of the present invention, it is possible to positively prevent privacy information leak caused by a leak of
- 30 the biometric information of the individual.

- A further object of the present invention is to provide a computer-readable storage medium which stores a program for causing a computer to authenticate an individual, comprising measuring
- 35 means for causing the computer to measure biometric information of an individual, converting means for causing the computer to carry out a predetermined

conversion process with respect to the biometric information so as to obtain converted biometric information, extracting means for causing the computer to extract feature information from the converted biometric information so as to obtain extracted feature information, and verifying means for causing the computer to verify the extracted feature information with respect to registered information which is registered in advance, so as to authenticate the individual. According to the computer-readable storage medium of the present invention, the original biometric information cannot be read from the registered information by a third party even if the registered information leaks to the third party, because the registered information is made up of the feature information extracted from the converted biometric information. For this reason, it is possible to positively prevent privacy information leak caused by a leak of the biometric information of the individual.

Another object of the present invention is to provide a computer-readable storage medium which stores a program for causing a computer to authenticate an individual, comprising measuring means for causing the computer to measure biometric information of an individual, extracting means for causing the computer to extract feature information from the biometric information so as to obtain extracted feature information, converting means for causing the computer to carry out a predetermined conversion process with respect to the extracted feature information so as to obtain converted extracted feature information, and verifying means for causing the computer to verify the converted extracted feature information with respect to registered information which is registered in advance, so as to authenticate the individual.

According to the computer-readable storage medium of the present invention, the original biometric information cannot be read from the registered information by a third party even if the registered information leaks to the third party, because the registered information is made up of the feature information which is extracted from the biometric information and converted. For this reason, it is possible to positively prevent privacy information leak caused by a leak of the biometric information of the individual.

Still another object of the present invention is to provide a computer-readable storage medium which stores a program for causing a computer to authenticate an individual by verifying input biometric information with respect to registered biometric information, comprising measuring means for causing the computer to measure biometric information of the individual, converting means for causing the computer to carry out a predetermined conversion process with respect to the biometric information so as to obtain converted biometric information, and registering means for causing the computer to register the converted biometric information. According to the computer-readable storage medium of the present invention, it is possible to positively prevent privacy information leak caused by a leak of the biometric information of the individual.

A further object of the present invention is to provide a computer-readable storage medium which stores a program for causing a computer to authenticate an individual, comprising measuring means for causing the computer to measure biometric information of an individual, converting means for causing the computer to carry out a predetermined conversion process with respect to the biometric

information so as to obtain converted biometric information, registering means for causing the computer to register converted biometric information in advance, and verifying means for causing the
5 computer to verify the converted biometric information obtained by the converting means with respect to the converted biometric information registered in the registering means, so as to authenticate the individual. According to the
10 computer-readable storage medium of the present invention, it is possible to positively prevent privacy information leak caused by a leak of the biometric information of the individual.

Other objects and further features of the
15 present invention will be apparent from the following detailed description when read in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

20 FIGS. 1A and 1B respectively are block system diagrams for explaining the operating principles of the present invention;

FIG. 2 is a system block diagram showing a first embodiment of an authentication apparatus
25 according to the present invention;

FIG. 3 is a perspective view showing a computer system;

FIG. 4 is a system block diagram showing an important part of a main body part of the
30 computer system shown in FIG. 3;

FIG. 5 is a flow chart for explaining the operation of the first embodiment of the authentication apparatus when registering biometric information;

35 FIG. 6 is a flow chart for explaining the operation of the first embodiment of the authentication apparatus when verifying the

biometric information;

FIG. 7 is a system block diagram showing a second embodiment of the authentication apparatus according to the present invention;

5 FIG. 8 is a system block diagram showing a third embodiment of the authentication apparatus according to the present invention;

FIG. 9 is a flow chart for explaining the operation of the third embodiment of the
10 authentication apparatus;

FIG. 10 is a system block diagram showing a fourth embodiment of the authentication apparatus according to the present invention;

FIG. 11 is a flow chart for explaining the
15 operation of the fourth embodiment of the authentication apparatus;

FIG. 12 is a system block diagram showing a fifth embodiment of the authentication apparatus according to the present invention;

20 FIG. 13 is a flow chart for explaining the operation of the fifth embodiment of the authentication apparatus;

FIGS. 14A and 14B respectively are diagrams for explaining an embodiment of a
25 conversion process;

FIGS. 15A and 15B respectively are diagrams for explaining an embodiment of the conversion process;

FIGS. 16A and 16B respectively are
30 diagrams for explaining an embodiment of the conversion process;

FIGS. 17A and 17B respectively are diagrams for explaining an embodiment of the conversion process;

35 FIG. 18 is a flow chart for explaining an image conversion process;

FIGS. 19A and 19B respectively are

2025 RELEASE UNDER E.O. 14176

diagrams for explaining another embodiment of the conversion process; and

FIG. 20 is a flow chart for explaining the image conversion process.

5

DESCRIPTION OF THE PREFERRED EMBODIMENTS

First, a description will be given of the operating principles of the present invention, by referring to FIGS. 1A and 1B.

10 A description will be given of a first aspect of the present invention. An authentication apparatus shown in FIG. 1A generally includes a measuring means 1 for measuring biometric information of an individual, a converting means 2a
15 for subjecting the biometric information to a predetermined conversion process so as to obtain converted biometric information, an extracting means 3a for extracting feature information from the converted biometric information so as to obtain
20 extracted feature information, and a verifying means 4 for verifying the extracted feature information with registered information which is registered in advance, so as to authenticate the individual.

Because the registered information is made
25 up of feature information which is extracted from converted biometric information, the original biometric information cannot be read by a third party even if the registered information is stolen or is leaked. As a result, it is possible to
30 positively prevent a leak of privacy information caused by leak of individual biometric information.

Next, a description will be given of a second aspect of the present invention. An authentication apparatus shown in FIG. 1B generally
35 includes a measuring means 1 for measuring biometric information of an individual, an extracting means 3b for extracting feature information from the

2025 RELEASE UNDER E.O. 14176

biometric information so as to obtain extracted feature information, a converting means 2b for subjecting the extracted feature information to a predetermined conversion process so as to obtain converted extracted feature information, and a verifying means 4 for verifying the converted extracted feature information with registered information which is registered in advance, so as to authenticate the individual.

Since the registered information is made up of the feature information which is extracted from the biometric information and converted, the original biometric information cannot be read by a third party even if the registered information is stolen or is leaked. As a result, it is possible to positively prevent a leak of privacy information caused by leak of individual biometric information.

A description will hereunder be given of various embodiments of the present invention.

FIG. 2 is a system block diagram showing a first embodiment of the authentication apparatus according to the present invention. In this embodiment, the present invention is applied to a stand-alone type authentication apparatus. In FIG. 2, the authentication apparatus generally includes a biometric information measuring unit 11, a biometric information converting unit 12, a conversion parameter input unit 13, a verifying biometric information generating unit 14, a converted biometric information verifying unit 15, a registering biometric information generating unit 21, and a converted biometric information storage management unit 22 which are coupled as shown.

The biometric information measuring unit 11 measures the biometric information of a user, that is, the individual, by a known method. For example, in the case of the fingerprint, an image of

6627440-03439

a crest pattern of the fingerprint is measured as the biometric information. In the case of the iris, an image of an iris pattern is measured as the biometric information. Further, in the case of the
5 signature, a handwriting, a pressure applied by a writing utensil when making the handwriting, or the like is measured as the biometric information.

The biometric information converting unit
12 carries out a predetermined conversion process
10 with respect to the biometric information measured by the biometric information measuring unit 11, based on conversion parameters input from the conversion parameter input unit 13. The biometric information may be a fingerprint, voice pattern,
15 palmar pattern, face, iris, retina, vascular pattern, signature or the like.

In the case where the measured biometric information relates to the fingerprint, palmar pattern or face, for example, the biometric
20 information converting unit 12 carries out a conversion process including an expansion, compression, rotation, deformation, affine transformation, morphing, coordinate transformation, function process, parameter conversion and the like,
25 with respect to the image of the fingerprint, palmar pattern or face. On the other hand, in the case where the measured biometric information relates to the voice pattern, for example, the biometric information converting unit 12 carries out a
30 conversion process such as a frequency conversion, a time base conversion and the like, with respect to the audio data.

In the case where the measured biometric information relates to the iris, for example, the
35 biometric information converting unit 12 carries out a conversion process including a rearrangement of bit sequences, and the like, with respect to a one-

dimensional binarized iris data extracted concentrically to a pupil. Furthermore, in the case where the measured biometric information relates to the retina, for example, the biometric information
5 converting unit 12 carries out a conversion process including a rearrangement of bit sequences, and the like, with respect to a one-dimensional binarized retina data extracted in a circular manner.

Moreover, in the case where the measured
10 biometric information relates to the vascular pattern, for example, the biometric information converting unit 12 carries out a conversion process including an expansion, compression rotation, deformation, affine transformation, morphing and the
15 like, with respect to the vascular image data. In addition, in the case where the measured biometric information relates to the signature, for example, the biometric information converting unit 12 carries out a conversion process including a time base
20 conversion, coordinate axis transformation and the like, with respect to the signature data.

The converted biometric information obtained from the biometric information converting unit 12 is supplied to the verifying biometric
25 information generating unit 14 when verifying the biometric information, and is supplied to the registering biometric information generating unit 21 when registering the biometric information. The verifying biometric information generating unit 14
30 generates verifying biometric information based on the biometric information converting unit 12, and supplies the verifying biometric information to the converted biometric information verifying unit 15.

When registering the biometric information,
35 the registering biometric information generating unit 21 generates registering biometric information based on the converted biometric information

obtained from the biometric information converting unit 12. The converted biometric information storage managing unit 22 stores and manages the registering biometric information, so as to register
5 the registering biometric information as registered biometric information.

When verifying the biometric information, the converted biometric information verifying unit 15 verifies the verifying biometric information
10 which is obtained from the verifying biometric information generating unit 14, with respect to the registered biometric information which is obtained from the converted biometric information storage managing unit 22, and makes an authentication as to
15 whether or not the user is actually the user himself who is registered. More particularly, the converted biometric information verifying unit 15 carries out the authentication based on whether or not the verified biometric information match under a
20 predetermined condition.

Accordingly, it is possible to confirm that a user is the person himself when making data access, approval, transfer, payment or the like on the computer network. The data access or the like
25 with respect to the computer network can be permitted or prohibited, based on an identification result output from the converted biometric information verifying unit 15.

The authentication apparatus shown in FIG. 2 may be realized by a computer system shown in FIGS. 3 and 4, for example. FIG. 3 is a perspective view showing the computer system, and FIG. 4 is a system block diagram showing an important part of a main body part of the computer system shown in FIG. 3.

35 In FIG. 3, a computer system 100 generally includes a main body part 101 which includes a CPU, a disk drive and the like, a display 102 which

includes a display screen 102a for displaying an image in response to an instruction from the main body part 101, a keyboard 103 which is used to input various information to the computer system 100, a
5 mouse 104 which is used to specify an arbitrary position on the display screen 102a of the display 102, a modem 105 which downloads a program or the like stored in another computer system by making access to an external database or the like, a
10 biometric information input unit 120, and the like. In this embodiment, the biometric information input unit 120 is formed by a fingerprint information input unit, for example. The fingerprint of the user is read by a known method when the user places
15 his finger tip on a verifying part 121, and the read fingerprint image information is supplied to the main body part 101.

A program for causing the computer system 100 to carry out the authentication process is
20 stored in a portable recording medium such as a disk 100 or, is downloaded from a recording medium 106 of another computer system using a communication unit such as the modem 105.

A storage medium according to the present
25 invention is formed by a computer-readable storage medium which stores the above described program for causing a computer, such as the computer system 100, to carry out the authentication process. For example, the storage medium according to the present
30 invention is formed by a disk 110 shown in FIG. 3, the recording medium 106, or the like. The kind or type of recording medium which forms the storage medium according to the present invention is not limited to a specific kind or type, and may be any
35 kind or type of recording medium which readable by a computer system. Typical examples of the recording mediums which may form the storage medium according

5

10

25

In FIG. 5, a step S1 measures the

biometric information of the user. More particularly, the biometric information of the user input from the biometric information input unit 120 is input. A step S2 inputs the conversion

5 parameters which are input from the keyboard 103 or the like. For example, the conversion parameters include personal information related to the individual user, such as the user's identification (ID) number, name and employee number. A step S3

10 converts the measured biometric information into converted biometric information based on the conversion parameters, by carrying out a predetermined conversion process. A step S4

15 generates registering biometric information from the converted biometric information, and registers the user's biometric information by storing the registering biometric information into the memory 132 or the like as registered biometric information.

On the other hand, in FIG. 6, a step S11

20 measures the biometric information of an individual who is to be authenticated. More particularly, the biometric information of the individual is input from the biometric information input unit 120. A

step S12 inputs the conversion parameters which are

25 input from the keyboard 103 or the like. The conversion parameters are the same as the conversion parameters input in the step S2 shown in FIG. 5. A

step S13 converts the measured biometric information into converted biometric information based on the

30 conversion parameters, by carrying out a process identical to the predetermined conversion process carried out in the step S3 shown in FIG. 5. A step S14 generates verifying biometric information from the converted biometric information. A step S15

35 reads from the memory 132 the registered biometric information which is stored in the memory 132 when registering the biometric information as described

above.

A step S16 verifies the verifying biometric information with the registered biometric information, and decides whether or not a match exists under a predetermined condition, that is, whether or not an approximate match exists within a predetermined tolerable range. If the decision result in the step S16 is NO, a step S17 judges that the individual subjected to the authentication is not the registered user himself, and an identification result indicating that the individual is not the registered user himself is output. The process ends after the step S17. On the other hand, if the decision result in the step S16 is YES, a step S18 judges that the individual subjected to the authentication is the registered user himself, and an identification result indicating the individual is the registered user himself is output. The process ends after the step S18.

In the steps S3 and S4 shown in FIG. 5 and in the steps S13 and S14 shown in FIG. 6, it is possible to generate the registering biometric information and the verifying biometric information by converting the biometric information itself and then extracting the feature information from the converted biometric information or, by extracting the feature information from the biometric information and then converting the extracted feature information.

In this embodiment, the input biometric information is first converted and then registered. For this reason, it is difficult to infer or estimate the original biometric information from the registered biometric information. Hence, even if the registered biometric information should leak to a third party for same reason, it is difficult for the third party to find out the original biometric

information of the registered user from the registered biometric information, and as a result, the leak of privacy information is prevented.

Next, a description will be given of a
5 second embodiment of the authentication apparatus according to the present invention, by referring to FIG. 7. FIG. 7 is a system block diagram showing the second embodiment of the authentication
10 apparatus. In FIG. 7, those parts which are the same as those corresponding parts in FIG. 2 are designated by the same reference numerals, and a description thereof will be omitted. Further, the illustration of the conversion parameter input unit is omitted in FIG. 7.

15 In this embodiment, an identity authenticating unit 31, a biometric information registering unit 32 and a medium 33 are provided independently. The identity authenticating unit 31 includes the biometric information measuring unit 11,
20 the biometric information converting unit 12, the conversion parameter input unit (not shown), the verifying biometric information generating unit 14 and the converted biometric information verifying unit 15 shown in FIG. 2, and is formed by the
25 computer system 100 described above in conjunction with FIGS. 3 and 4, for example. The biometric information registering unit 32 includes a biometric information measuring unit 11a, a biometric information converting unit 12a and a conversion
30 parameter input unit (not shown) which are similar to the biometric information measuring unit 11, the biometric information converting unit 12 and the conversion parameter input unit 13 shown in FIG. 2, and is formed by the computer system 100 described
35 above in conjunction with FIGS. 3 and 4, for example. The medium 33 includes the converted biometric information storage managing unit 22 shown in FIG. 2,

and is formed by the disk 110 shown in FIG. 3, for example.

When registering the biometric information, the biometric information registering unit 32 registers in advance the registering biometric information of the user in the converted biometric information storage managing unit 22 of the medium 33. When verifying the biometric information, the identity authenticating unit 31 verifies the verifying biometric information from the verifying biometric information generating unit 14 with the registered biometric information read from the converted biometric information storage managing unit 22 of the medium 33, and carries out the authentication based on whether or not the verifying biometric information matches the registered biometric information under a predetermined condition.

When an identification result indicating that the individual subjected to the authentication is the user himself is output from the converted biometric information verifying unit 15, a data access, approval, transfer, payment or the like on a computer network, for example, is permitted based on the authentication result. Particular examples of the operations permitted to the individual who is subjected to the authentication, based on the authentication result, are a data transfer between the computer system 100 which forms the identity authenticating unit 31 and the medium 33, an access to information other than the registered biometric information stored in the medium 33, a use of the computer system 100 itself, and a data access on a computer network using the computer system 100.

The conversion parameters used by the biometric information converting unit 12 of the identity authenticating unit 31 may be stored in the

medium 33 and read from the medium when the conversion parameters are used, such as when converting the biometric information.

5 A second embodiment of the storage medium according to the present invention stores a program for causing the computer system 100 to carry out the process of at least one of the identity authenticating unit 31 and the biometric information registering unit 32.

10 Next, a description will be given of a third embodiment of the authentication apparatus according to the present invention, by referring to FIG. 8. FIG. 8 is a system block diagram showing the third embodiment of the authentication apparatus.
15 In FIG. 8, those parts which are the same as those corresponding parts in FIGS. 2 and 7 are designated by the same reference numerals, and a description thereof will be omitted. Further, the illustration of the conversion parameter input unit is omitted in
20 FIG. 8. In this embodiment, the present invention is applied to a client-server type authentication apparatus.

In this embodiment, a client system 41 and a server system 42 are coupled via a network 43.

25 The client system 41 includes the biometric information measuring unit 11, the biometric information converting unit 12, the conversion parameter input unit (not shown) and the verifying biometric information generating unit 14
30 shown in FIG. 2, and a converted biometric information transmitting unit 16. For example, this client system 41 is formed by the computer system 100 described above in conjunction with FIGS. 3 and 4.

35 On the other hand, the server system 42 includes the biometric information measuring unit 11a, the biometric information converting unit 12a,

the conversion parameter input unit (not shown) and the registering biometric information generating unit 21 shown in FIG. 7, the converted biometric information storage managing unit 22, a converted
5 biometric information verifying unit 15a similar to the converted biometric information verifying unit 15 shown in FIG. 2, and a converted biometric information receiving unit 24. For example, this server system 42 is formed by the computer system
10 100 described above in conjunction with FIGS. 3 and 4. The converted biometric information transmitting unit 16 and the converted biometric information receiving unit 24 respectively include a communication means for making a communication via
15 the network 43.

When registering the biometric information, the registering biometric information is registered in the converted biometric information storage managing unit 22 within the server system 42. When
20 verifying the biometric information, the verifying biometric information obtained from the verifying biometric information generating unit 14 within the client system 41 is transmitted from the converted biometric information transmitting unit 24 within
25 the server system 42 to the converted biometric information receiving unit 24 within the server system 42 via the network 43. The verifying biometric information received by the converted biometric information receiving unit 24 is supplied
30 to the converted biometric information verifying unit 15a within the server system 42, and is verified with respect to the registered biometric information read from the converted biometric information storage managing unit 22 within the
35 server system 42. An authentication result output from the converted biometric information verifying unit 15a within the server system 42 is notified to

the client system 41 via the network 43, for example. As a result, the individual subjected to the authentication is permitted to make an access from the client system 41 to a database within the server system 42 via the network 43, for example.

The conversion parameters used by the biometric information converting unit 12a within the server system 42 may be transmitted from the client system 41 via the network 43. In this case, it is unnecessary to provide a conversion parameter input unit in the server system 41. In addition, the conversion parameters used by the biometric information converting unit 12 within the client system 41 may be transmitted from the server system 42 via the network 43. In this case, it is unnecessary to provide a conversion parameter input unit in the client system 42. Furthermore, the conversion parameters transmitted via the network 43 are not limited to the personal information, and may be an enciphering key which is used for the communication via the network 43.

In this embodiment, the registration and verification of the biometric information are carried out in the server system 42. For this reason, it is unnecessary to provide a storage unit having a large capacity in the client system 41 for the purposes of storing the registered biometric information. In addition, the registered biometric information registered within the server system 42 is not the original biometric information of each user, but is the converted biometric information, thereby making it difficult to infer or estimate the original biometric information from the registered biometric information. Accordingly, even if the registered biometric information should leak to a third party for some reason, it is difficult for the third party to find out the original biometric

information of the registered user from the registered biometric information, and as a result, the leak of privacy information is prevented.

Furthermore, the verifying biometric information which is transmitted from the client system 41 to the server system 42 via the network 43 is not the original biometric information of the individual who is to be subjected to the authentication, but is the converted biometric information, thereby making it difficult to infer or estimate the original biometric information from the verifying biometric information. Thus, even if the verifying biometric information should leak to a third party for some reason, it is difficult for the third party to find out the original biometric information of the individual who is to be subjected to the authentication from the verifying biometric information, and therefore, the leak of privacy information is prevented.

FIG. 9 is a flow chart for explaining the process of a computer system which includes the client system 41 and the server system 42 which are coupled via the network 43.

In the server system 42, a step S21 shown in FIG. 9 measures the biometric information of the user. More particularly, the biometric information of the user input from the biometric information input unit 120 of the computer system 100 which forms the server system 42 is input. A step S22 converts the measured biometric information by a predetermined conversion process based on the conversion parameters input from the keyboard 103 or the like or, the conversion parameters notified from the client system 41 via the network 43. A step S23 generates the registering biometric information from the converted biometric information, and a step S24 registers the biometric information of the user by

storing the registering biometric information in the memory 132 or the like as the registered biometric information.

On the other hand, in the client system 41,
5 a step S25 measures the biometric information of the individual who is to be subjected to the authentication. More particularly, the biometric information of the individual who is to be subjected to the authentication is input from the biometric
10 information input unit 120 of the computer system 100 which forms the client system 41 is input. A step S26 converts the measured biometric information by a conversion process identical to the predetermined process carried out in the step S22,
15 based on the conversion parameters identical to the conversion parameters used in the step S22. A step S27 generates the verifying biometric information from the converted biometric information. A step S28 transmits the verifying biometric information to
20 the server system 42 via the network 43.

In the server system 42 which receives the verifying biometric information, a step S29 reads the registered biometric information which is registered at the time of the biometric information
25 registration, from the memory 132 of the computer system 100 which forms the server system 42, and verifies the received verifying biometric information with respect to the registered biometric information. A step S30 decides whether or not the
30 verifying biometric information matches the registered biometric information under a predetermined condition, that is, whether or not an approximate match exists within a predetermined tolerable range. If the decision result in the step
35 S30 is NO, a step S31 judges that the individual subjected to the authentication is not the registered user himself, and an identification

result indicating that the individual is not the registered user himself is output. The process ends after the step S31. On the other hand, if the decision result in the step S30 is YES, a step S32
5 judges that the individual subjected to the authentication is the registered user himself, and an identification result indicating the individual is the registered user himself is output. The process ends after the step S32.

10 In the steps S22 and S23 and in the steps S26 and S27 shown in FIG. 9, it is possible to generate the registering biometric information and the verifying biometric information by converting the biometric information itself and then extracting
15 the feature information from the converted biometric information or, by extracting the feature information from the biometric information and then converting the extracted feature information.

A third embodiment of the storage medium
20 according to the present invention stores a program for causing the computer system 100 to carry out the process of at least one of the client system 41 and the server system 42.

Next, a description will be given of a
25 fourth embodiment of the authentication apparatus according to the present invention, by referring to FIG. 10. FIG. 10 is a system block diagram showing the fourth embodiment of the authentication apparatus. In FIG. 10, those parts which are the
30 same as those corresponding parts in FIGS. 2 and 8 are designated by the same reference numerals, and a description thereof will be omitted. Further, the illustration of the conversion parameter input unit is omitted in FIG. 10. In this embodiment, the
35 present invention is applied to a client-server type authentication apparatus.

In this embodiment, a client system 41-1

includes the biometric information measuring unit 11, the biometric information converting unit 12, the conversion parameter input unit (not shown), the verifying biometric information generating unit 14, the converted biometric information verifying unit 15, and the converted biometric information receiving unit 17. For example, this client system 41-1 is formed by the computer system 100 described above in conjunction with FIGS. 3 and 4.

On the other hand, a server system 42-1 includes the biometric information measuring unit 11a, the biometric information converting unit 12a, the conversion parameter input unit (not shown), the registering biometric information generating unit 21, the converted biometric information storage managing unit 22, and a converted biometric information transmitting unit 25a. For example, this server system 42-1 is formed by the computer system 100 described above in conjunction with FIGS. 3 and 4. The converted biometric information transmitting unit 25a and the converted biometric information receiving unit 17 respectively include a communication means for making a communication via the network 43.

When registering the biometric information, the registering biometric information is registered in the converted biometric information storage managing unit 22 within the server system 42-1. When verifying the biometric information, the registered biometric information read from the converted biometric information storage managing unit 22 within the server system 42-1 is transmitted from the converted biometric information transmitting unit 25a within the server system 42-1 to the converted biometric information receiving unit 17 within the client system 41-1 via the network 43. The verifying biometric information

received by the converted biometric information receiving unit 17 is supplied to the converted biometric information verifying unit 15 within the client system 41-1, and is verified with respect to the verifying biometric information obtained from the verifying biometric information generating unit 14 within the client system 41-1. Based on an authentication result output from the converted biometric information verifying unit 15 within the client system 41-1 the individual subjected to the authentication is permitted to make an access from the client system 41-1 to a database within the server system 42-1 via the network 43, for example.

The conversion parameters used by the biometric information converting unit 12a within the server system 42-1 may be transmitted from the client system 41-1 to the server system 42-1 via the network 43. In this case, it is unnecessary to provide a conversion parameter input unit in the server system 42-1. In addition, the conversion parameters used by the biometric information converting unit 12 within the client system 41-1 may be transmitted from the server system 42-1 to the client system 41-1 via the network 43. In this case, it is unnecessary to provide a conversion parameter input unit in the client system 41-1. Furthermore, the conversion parameters transmitted via the network 43 are not limited to the personal information, and may be an enciphering key which is used for the communication via the network 43.

In this embodiment, the registration of the biometric information is carried out in the server system 42-1, and the verification of the biometric information is carried out in the client system 41-1. For this reason, it is unnecessary to provide a storage unit having a large capacity in the client system 41-1 for the purposes of storing

the registered biometric information. In addition, the registered biometric information registered within the server system 42-1 is not the original biometric information of each user, but is the converted biometric information, thereby making it difficult to infer or estimate the original biometric information from the registered biometric information. Accordingly, even if the registered biometric information should leak to a third party for some reason, it is difficult for the third party to find out the original biometric information of the registered user from the registered biometric information, and as a result, the leak of privacy information is prevented.

FIG. 11 is a flow chart for explaining the process of a computer system which includes the client system 41-1 and the server system 42-1 which are coupled via the network 43. In FIG. 11, those steps which are the same as those corresponding steps in FIG. 9 are designated by the same reference numerals, and a description thereof will be omitted.

In the server system 42-1, the steps S21 through S24 shown in FIG. 11 store the registering biometric information in the memory 132 or the like as the registered biometric information, so as to register the biometric information of the user. On the other hand, in the client system 41-1, the steps S25 through S27 generate the verifying biometric information. Then, in the server system 42-1, a step S28-1 transmits the registered biometric information to the client system 41-1 via the network 43.

In the client system 41-1 which receives the verifying biometric information, a step S29-1 verifies the verifying biometric information with respect to the registered verifying biometric information received from the server system 42-1. A

step S30-1 decides whether or not the verifying biometric information matches the registered biometric information under a predetermined condition, that is, whether or not an approximate match exists within a predetermined tolerable range. If the decision result in the step S30-1 is NO, a step S31-1 judges that the individual subjected to the authentication is not the registered user himself, and an identification result indicating that the individual is not the registered user himself is output. The process ends after the step S31-1. On the other hand, if the decision result in the step S30-1 is YES, a step S32-1 judges that the individual subjected to the authentication is the registered user himself, and an identification result indicating the individual is the registered user himself is output. The process ends after the step S32-1.

A fourth embodiment of the storage medium according to the present invention stores a program for causing the computer system 100 to carry out the process of at least one of the client system 41-1 and the server system 42-1.

Next, a description will be given of a fifth embodiment of the authentication apparatus according to the present invention, by referring to FIG. 12. FIG. 12 is a system block diagram showing the fifth embodiment of the authentication apparatus. In FIG. 12, those parts which are the same as those corresponding parts in FIGS. 2 and 8 are designated by the same reference numerals, and a description thereof will be omitted. In this embodiment, the present invention is applied to a client-server type authentication apparatus.

In this embodiment, a client system 41-2 includes the biometric information measuring unit 11, the biometric information converting unit 12, a

conversion parameter storage unit 13a, the verifying biometric information generating unit 14, and the converted biometric information transmitting unit 16. For example, this client system 41-2 is formed by the computer system 100 described above in conjunction with FIGS. 3 and 4. On the other hand, a server system 42-2 includes a registering unit 45 and a verifying unit 46. In this embodiment, the registering unit 45 and the verifying unit 46 are independent units which are operated by different operators, for example.

The registering unit 45 includes the biometric information measuring unit 11a, the biometric information converting unit 12a and the registering biometric information generating unit 21. For example, the registering unit is formed by the computer system 100 described above in conjunction with FIGS. 3 and 4.

The verifying unit 46 includes the converted biometric information storage managing unit 22, the converted biometric information verifying unit 15a and the converted biometric information receiving unit 24. For example, the verifying unit is formed by the computer system 100 described above in conjunction with FIGS. 3 and 4.

The converted biometric information transmitting unit 16 and the converted biometric information receiving unit 24 respectively include a communication means for carrying out a communication via the network 43.

When registering the biometric information, the registering biometric information is generated in the registering unit 45 of the server system 42-2, and registered in the converted biometric information storage managing unit 22 within the verifying unit 46 of the server system 42-2. The conversion parameters which are obtained from the

conversion parameter storage unit 13a of the client system 41-2 via the network 43, when converting the biometric information in the biometric information converting unit 12a.

5 When verifying the biometric information, the registered biometric information read from the converted biometric information storage managing unit 22 in the verifying unit 46 of the server system 42-2 is supplied to the converted biometric information verifying unit 15a. In addition, the
10 verifying biometric information is transmitted from the converted biometric information transmitting unit 16 of the client system 41-2 to the converted biometric information receiving unit 24 of the
15 server system 42-2 via the network 43. The verifying biometric information received by the converted biometric information receiving unit 24 is supplied to the converted biometric information
20 verifying unit 15a, and is verified with respect to the registered biometric information. Based on an authentication result output from the converted biometric information verifying unit 15a within the server system 42-2 the individual subjected to the authentication is permitted to make an access from
25 the client system 41-2 to a database within the server system 42-2 via the network 43, for example.

 The conversion parameters transmitted via the network 43 are not limited to the personal information, and may be an enciphering key which is
30 used for the communication via the network 43.

 In this embodiment, the registration of the biometric information is carried out in the registering unit 45 of the server system 42-2, and the verification of the biometric information is
35 carried out in the verifying unit 46 of the server system 42-2. For this reason, it is unnecessary to provide a storage unit having a large capacity in

the client system 41-2 for the purposes of storing the registered biometric information. In addition, the registered biometric information registered within the verifying unit 46 of the server system

5 42-2 is not the original biometric information of each user. Furthermore, the conversion parameters obtained via the network 43 and the biometric information converting unit 12a are obtained only within the registering unit 45 of the server system

10 42-2, and are not visible from the verifying unit 46. Therefore, it difficult to infer or estimate the original biometric information from the registered biometric information. Moreover, it is impossible to infer or estimate the original biometric

15 information in the verifying unit 46. Accordingly, even if the registered biometric information should leak to a third party for some reason, it is difficult for the third party to find out the original biometric information of the registered

20 user from the registered biometric information, and as a result, the leak of privacy information is prevented.

FIG. 13 is a flow chart for explaining an important part of the process of a computer system

25 which includes the client system 41-1 and the server system 42-1 which are coupled via the network 43.

In FIG. 13, in the case of the process of the server system 42-2, a step S41 extracts the registering biometric information, and parallel to

30 the step S41, a step S42 inputs the biometric information conversion parameters from the client system 41-2. A step S43 converts the extracted registering biometric information based on the biometric information conversion parameters obtained

35 from the client system 41-2. A step S44 extracts the feature information from the converted biometric information, and a step S45 registers the extracted

feature information as the registered biometric information.

On the other hand in FIG. 13, in the case of the process of the client system 41-2, the step
5 S41 extracts the verifying biometric information, and in parallel to the step S41, the step S42 inputs the biometric information conversion parameters. The step S43 converts the extracted verifying biometric information based on the biometric
10 information conversion parameters obtained from the client system 41-2. The step S44 extracts the feature information from the converted biometric information, and the step S45 regards the extracted feature information as the verifying biometric
15 information.

The verification of the verifying biometric information with respect to the registered biometric information is carried out similarly as in the embodiment described above, and the
20 authentication is carried out as a result.

In FIG. 13, it is possible to carry out the steps S43 and S44 in a reverse order to that shown. In other words, it is possible to extract the feature information from the biometric
25 information first in the step S44, and then carry out the conversion process in the step S43.

Next, a description will be given of the conversion process which converts the biometric information. FIGS. 14A through 17B respectively are
30 diagrams for explaining embodiments of the conversion process, for a case where the biometric information is a fingerprint image related to a fingerprint.

FIGS. 14A and 14B are diagrams for
35 explaining an expansion process in a horizontal direction of the fingerprint image. FIG. 14A shows an extracted fingerprint image, and FIG. 14B shows a

converted fingerprint image which is reduced in only the vertical direction by the expansion process in the horizontal direction.

FIGS. 15A and 15B are diagrams for explaining an expansion process in a vertical direction of the fingerprint image. FIG. 15A shows an extracted fingerprint image, and FIG. 15B shows a converted fingerprint image which is reduced in only the horizontal direction by the expansion process in the vertical direction.

In FIGS. 14A, 14B, 15A and 15B, the expansion process is carried out isotropically. However, it is possible to carry out the expansion process non-isotropically, so as to make the original fingerprint image before the conversion even more difficult to infer or estimate.

FIGS. 16A and 16B are diagrams for explaining a mirror process with respect to the fingerprint image. FIG. 16A shows an extracted fingerprint image, and FIG. 16B shows a converted fingerprint image having the right and left sides of the original fingerprint image reversed by the mirror process.

FIGS. 17A and 17B are diagrams for explaining an affine conversion process with respect to the fingerprint image. FIG. 17A shows an extracted fingerprint image, and FIG. 17B shows a converted fingerprint image which is converted by the affine conversion process. For the sake of convenience, FIGS. 17A and 17B only show the contour of the fingerprint image.

When verifying the fingerprint, the position coordinate of the feature point such as the end point and the branch point, crest direction, center of the fingerprint, and the like are used. For this reason, if the format of the registered fingerprint information is known, it is possible to

infer or estimate the original fingerprint information by taking into consideration the fluctuation of the fingerprint information introduced at the time of the measurement. However, by carrying out the conversion process described above, it becomes extremely difficult to infer or estimate the original fingerprint information before the conversion by inferring or estimating the position coordinate, the crest direction, the center of the fingerprint and the like of the original fingerprint image.

FIG. 18 is a flow chart for explaining the conversion process described above in conjunction with FIGS. 14A through 17B. The conversion process shown in FIG. 18 is carried out by the computer system 100 or the like.

In FIG. 18, a step S51 extracts a fingerprint image, and a step S52 carries out at least one of the conversion processes described above in conjunction with FIGS. 14A through 17B, with respect to the extracted fingerprint image, so as to obtain a converted fingerprint image. A step S53 extracts feature information from the converted fingerprint image, so as to generate verifying fingerprint image or a registering fingerprint image, and the conversion process ends.

In FIG. 18, the order in which the steps S52 and S53 are carried out may be reversed. In other words, it is possible to extract the feature information from the extracted fingerprint image in the step S53, and then carry out the conversion process with respect to the feature information in the step S52, so as to generate the verifying fingerprint image or the registering fingerprint image.

FIGS. 19A and 19B are diagrams for explaining another embodiment of the conversion

process with respect to the biometric information, for a case where the biometric information is a fingerprint image related to a fingerprint. FIG. 19A shows a thinned fingerprint image which is
5 obtained by thinning an extracted fingerprint, and FIG. 19B shows a converted fingerprint image which is obtained by subjecting the thinned fingerprint image to an image conversion process.

In this case, the image conversion process
10 is not carried out until the fingerprint image is thinned. For this reason, a general image processing utilizing the characteristics of the fingerprint image may be carried out. In other words, since the image conversion process is carried
15 out after thinning the fingerprint image so as to facilitate the extraction of the feature information, it is possible to easily extract the feature information after the image conversion process.

FIG 20 is a flow chart for explaining the
20 image conversion process described above in conjunction with FIGS. 19A and 19B. The image conversion process shown in FIG. 20 is carried out by the computer system 100 or the like.

In FIG. 20, a step S61 extracts the
25 fingerprint image, and a step S62 carries out a thinning process with respect to the extracted fingerprint image. A step S63 carries out the image conversion process described above in conjunction with FIGS. 19A and 19B, with respect to the thinned
30 fingerprint image, so as to generate a converted fingerprint image. A step S64 extracts feature information from the converted fingerprint image, so as to generate a verifying fingerprint image or a registering fingerprint image, and the image
35 conversion process ends.

In the embodiments described above, the biometric information used is the fingerprint, but

the biometric information is of course not limited to the fingerprint.

In addition, the conversion process may be any one of a linear or non-linear magnification, rotation, reduction and affine conversion. Moreover, the conversion process may be any one of a frequency conversion process, time base conversion process, coordinate transformation process and image conversion process. Furthermore, the conversion process may be any one of a function process, non-linear function process, and unidirectional function process such as the hash function.

The computer system to which the present invention is applied is not limited to a desk-top type shown in FIG. 3, and the computer system may be a portable type such as a lap-top or notebook type computer.

The computer-readable storage medium which stores a program for causing a computer to carry out an authentication of an individual may be provided with a measuring means for causing the computer to measure biometric information of the individual, converting means for causing the computer to carry out a predetermined conversion process with respect to the biometric information so as to obtain converted biometric information, extracting means for causing the computer to extract feature information from the converted biometric information so as to obtain extracted feature information, and a verifying means for causing the computer to verify the extracted feature information with respect to registered information which is registered in advance so as to authenticate the individual. Alternatively, the computer-readable storage medium which stores a program for causing a computer to carry out an authentication of an individual may be provided with a measuring means for causing the

computer to measure biometric information of the individual, extracting means for extracting feature information from the biometric information so as to obtain extracted feature information, converting
5 means for causing the computer to carry out a predetermined conversion process with respect to the extracted feature information so as to obtain converted extracted feature information, and a verifying means for causing the computer to verify
10 the converted extracted feature information with respect to registered information which is registered in advance so as to authenticate the individual. In either case, the verifying means may cause the computer to read the registered
15 information from a recording medium.

In this case, the measuring means, the converting means, the extracting means and the verifying means may respectively control a client computer, and the recording medium may be read in a
20 client computer. On the other hand, the measuring means, the converting means, the extracting means and the verifying means may respectively control a client computer, and the recording medium may be read in a server computer which is coupled to the
25 client computer via a network and supplied to the verifying means of the client computer via the network. Furthermore, the measuring means, the converting means and the extracting means may respectively control a client computer, the
30 verifying means may control a server computer which is coupled to the client computer via a network, and the recording medium may be read in the server computer. In these cases, it is possible to apply the present invention to the stand-alone type
35 authentication apparatus and a client-server type authentication apparatus with a high flexibility.

Further, the present invention is not

limited to these embodiments, but various variations and modifications may be made without departing from the scope of the present invention.

5

10

15

20

25

30

35

WHAT IS CLAIMED IS

5

1. An authentication apparatus /
comprising:

measuring means for measuring biometric
information of an individual;

10 converting means for carrying out a
predetermined conversion process with respect to the
biometric information so as to obtain converted
biometric information;

15 extracting means for extracting feature
information from the converted biometric information
so as to obtain extracted feature information; and

verifying means for verifying the extracted
feature information with respect to registered
information which is registered in advance, so as to
20 authenticate the individual.

25

2. The authentication apparatus as
claimed in claim 1, which further comprises:

input means for inputting parameters used by
said converting means for the predetermined
conversion process.

30

3. The authentication apparatus as
35 claimed in claim 1, wherein said converting means
uses personal information related to the individual
as the parameters.

4. The authentication apparatus as
claimed in claim 1, wherein said verifying means
receives the registered information by a
communication which is made via a medium, and said
5 converting means uses an enciphering key which is
used for the communication as the parameters used
for the predetermined conversion process.

10

5. The authentication apparatus as
claimed in claim 1, wherein the registered
information is prestored in a recording medium, and
15 said verifying means reads the registered
information from the recording medium.

20

6. The authentication apparatus as
claimed in claim 5, wherein said measuring means,
said converting means, said extracting means and
said verifying means are provided in a client
25 computer, and said recording medium is read in the
client computer.

30

7. The authentication apparatus as
claimed in claim 5, wherein said measuring means,
said converting means, said extracting means and
said verifying means are provided in a client
35 computer, and said recording medium is read in a
server computer which is coupled to the client
computer via a network and the registered

information read from the recording medium is supplied to said verifying means in the client computer via the network.

5

8. The authentication apparatus as claimed in claim 5, wherein said measuring means, said converting means and said extracting means are provided in a client computer, said verifying means is provided in a server computer which is coupled to the client computer via a network, and said recording medium is read in the server computer.

15

9. An authentication apparatus ✓
comprising:
measuring means for measuring biometric information of an individual;
extracting means for extracting feature information from the biometric information so as to obtain extracted feature information;
converting means for carrying out a predetermined conversion process with respect to the extracted feature information so as to obtain converted extracted feature information; and
verifying means for verifying the converted extracted feature information with respect to registered information which is registered in advance, so as to authenticate the individual.

35

10. The authentication apparatus as claimed in claim 9, which further comprises:

input means for inputting parameters used by said converting means for the predetermined conversion process.

10 11. The authentication apparatus as claimed in claim 9, wherein said converting means uses personal information related to the individual as the parameters.

15

12. The authentication apparatus as claimed in claim 9, wherein said verifying means receives the registered information by a communication which is made via a medium, and said converting means uses an enciphering key which is used for the communication as the parameters used for the predetermined conversion process.

25

13. The authentication apparatus as claimed in claim 9, wherein the registered information is prestored in a recording medium, and said verifying means reads the registered information from the recording medium.

35

14. The authentication apparatus as claimed in claim 13, wherein said measuring means, said extracting means, said converting means and said verifying means are provided in a client
5 computer, and said recording medium is read in the client computer.

10

15. The authentication apparatus as claimed in claim 13, wherein said measuring means, said extracting means, said converting means and said verifying means are provided in a client
15 computer, and said recording medium is read in a server computer which is coupled to the client computer via a network and the registered information read from the recording medium is supplied to said verifying means in the client
20 computer via the network.

25

16. The authentication apparatus as claimed in claim 13, wherein said measuring means, said extracting means and said converting means are provided in a client computer, said verifying means is provided in a server computer which is coupled to
30 the client computer via a network, and said recording medium is read in the server computer.

35

17. An authentication apparatus for
authenticating an individual by verifying input

biometric information with respect to registered
biometric information, comprising:

measuring means for measuring biometric
information of the individual;

5 converting means for carrying out a
predetermined conversion process with respect to the
biometric information so as to obtain converted
biometric information; and

registering means for registering the
10 converted biometric information.

15 18. An authentication apparatus
comprising:

measuring means for measuring biometric
information of an individual;

20 converting means for carrying out a
predetermined conversion process with respect to the
biometric information so as to obtain converted
biometric information;

registering means for registering converted
biometric information in advance; and

25 verifying means for verifying the converted
biometric information obtained by said converting
means with respect to the converted biometric
information registered in said registering means, so
as to authenticate the individual.

30

19. A computer-readable storage medium
35 which stores a program for causing a computer to
authenticate an individual, comprising:

measuring means for causing the computer to

CONFIDENTIAL

measure biometric information of an individual;

converting means for causing the computer to carry out a predetermined conversion process with respect to the biometric information so as to obtain

5 converted biometric information;

extracting means for causing the computer to extract feature information from the converted biometric information so as to obtain extracted feature information; and

10 verifying means for causing the computer to verify the extracted feature information with respect to registered information which is registered in advance, so as to authenticate the individual.

15

20. The computer-readable storage medium as claimed in claim 19, which further comprises:

input means for causing the computer to input parameters used by said converting means for the predetermined conversion process.

25

21. The computer-readable storage medium as claimed in claim 19, wherein said verifying means
30 causes the computer to read the registered information from a recording medium which prestores the registered information.

35

22. A computer-readable storage medium ✓

which stores a program for causing a computer to authenticate an individual, comprising:

measuring means for causing the computer to measure biometric information of an individual;

5 extracting means for causing the computer to extract feature information from the biometric information so as to obtain extracted feature information;

10 converting means for causing the computer to carry out a predetermined conversion process with respect to the extracted feature information so as to obtain converted extracted feature information; and

15 verifying means for causing the computer to verify the converted extracted feature information with respect to registered information which is registered in advance, so as to authenticate the individual.

20

23. The computer-readable storage medium as claimed in claim 22, which further comprises:

25 input means for causing the computer to input parameters used by said converting means for the predetermined conversion process.

30

24. The computer-readable storage medium as claimed in claim 22, wherein said verifying means causes the computer to read the registered
35 information from a recording medium which prestores the registered information.

25. A computer-readable storage medium

which stores a program for causing a computer to authenticate an individual by verifying input biometric information with respect to registered biometric information, comprising:

- 5 measuring means for causing the computer to measure biometric information of the individual;
- converting means for causing the computer to carry out a predetermined conversion process with respect to the biometric information so as to obtain
- 10 converted biometric information; and
- registering means for causing the computer to register the converted biometric information.

15

26. A computer-readable storage medium ✓

which stores a program for causing a computer to authenticate an individual, comprising:

- 20 measuring means for causing the computer to measure biometric information of an individual;
- converting means for causing the computer to carry out a predetermined conversion process with respect to the biometric information so as to obtain
- 25 converted biometric information;
- registering means for causing the computer to register converted biometric information in advance; and
- verifying means for causing the computer to
- 30 verify the converted biometric information obtained by said converting means with respect to the converted biometric information registered in said registering means, so as to authenticate the individual.

BOOK REVIEW

[illegible]

BOOK REVIEW

BOOK REVIEW

BOOK REVIEW

FIG. 1A

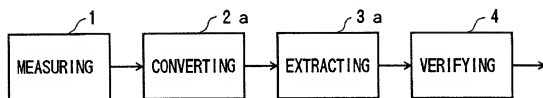


FIG. 1B

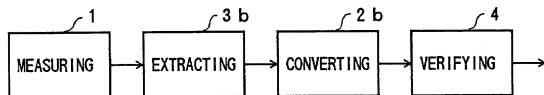


FIG. 2

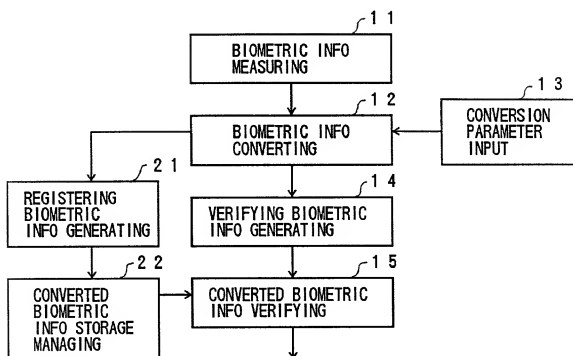


FIG.3

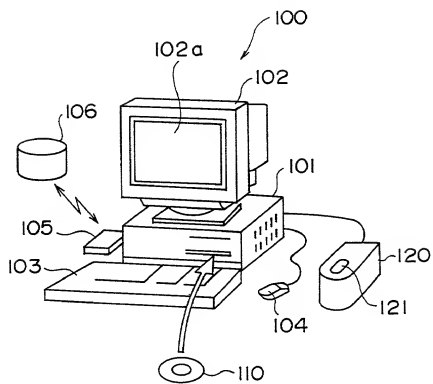


FIG.4

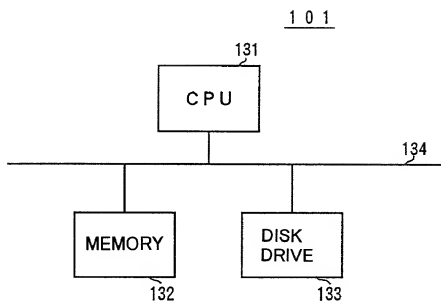


FIG. 5

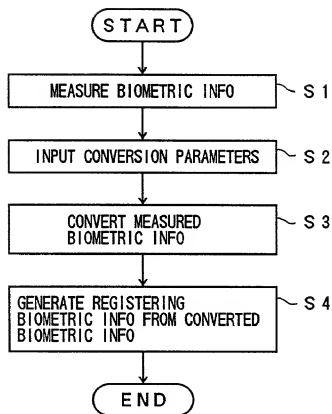


FIG. 6

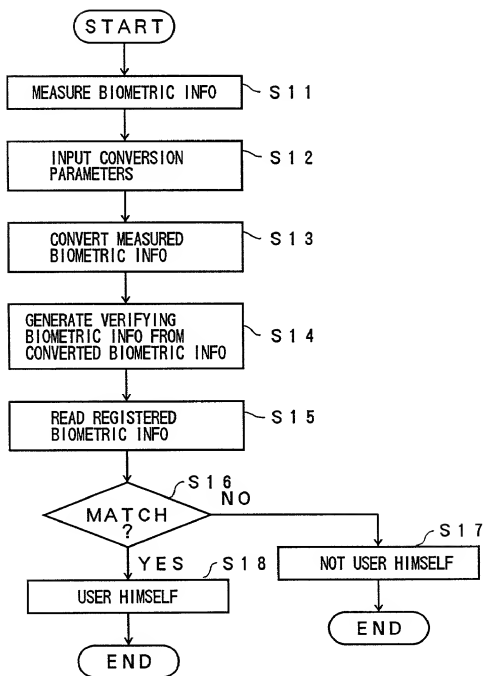


FIG. 7

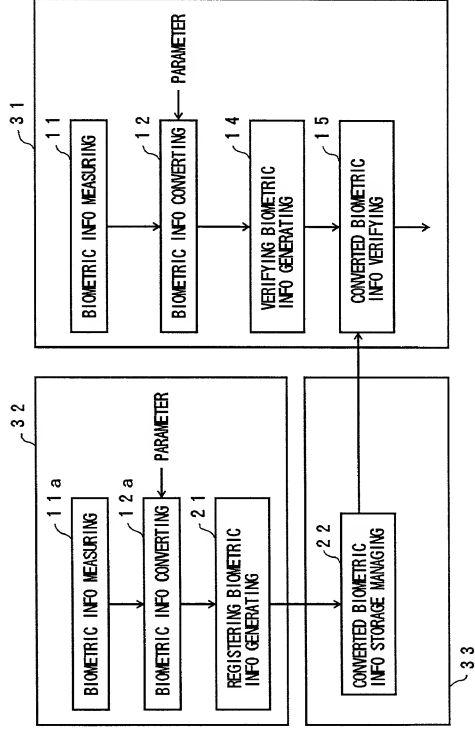


FIG. 8

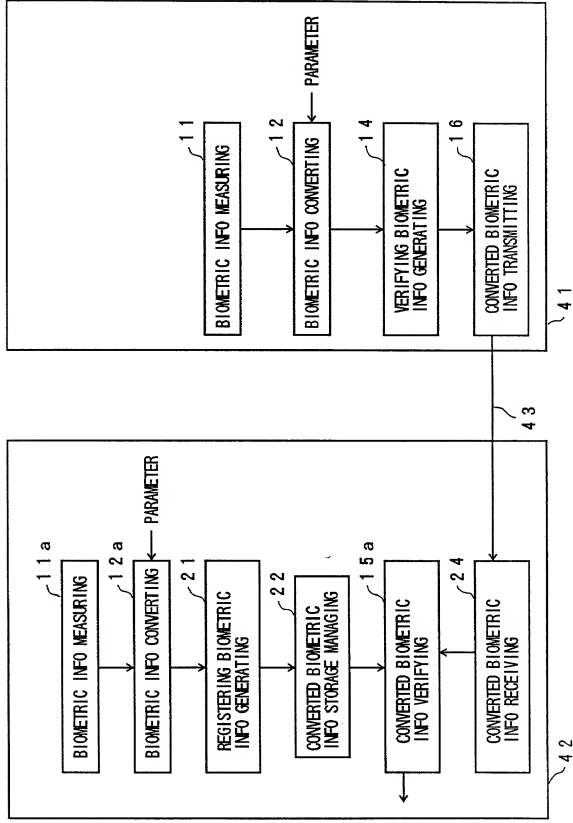


FIG. 9

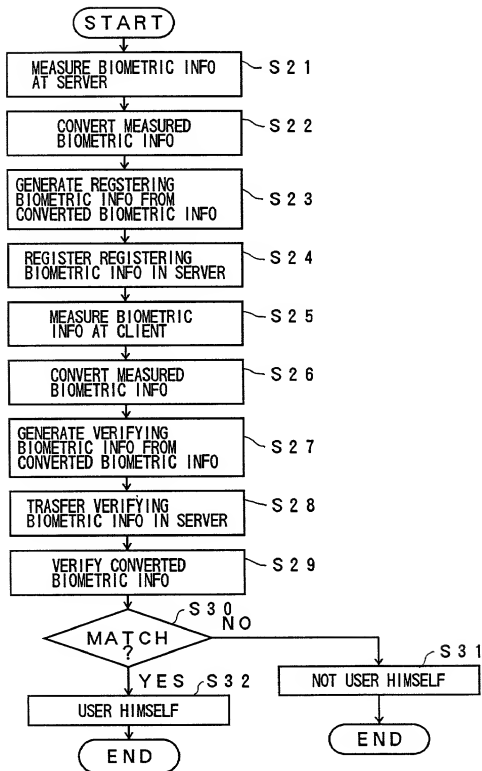


FIG. 10

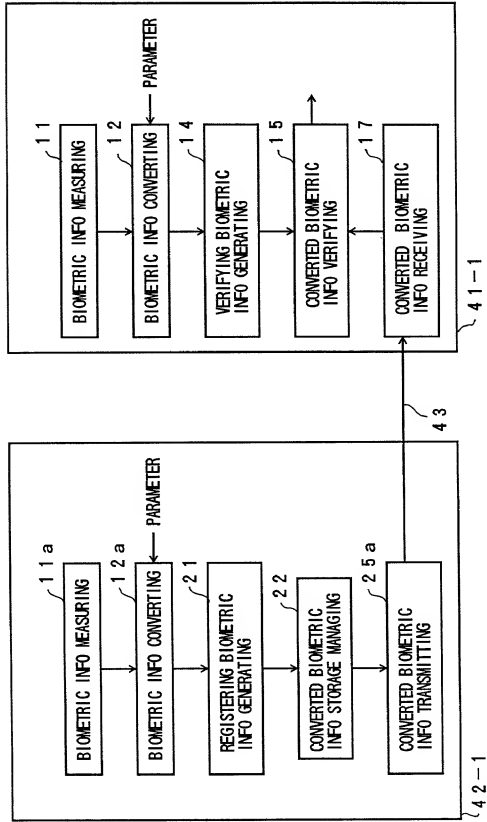


FIG. 11

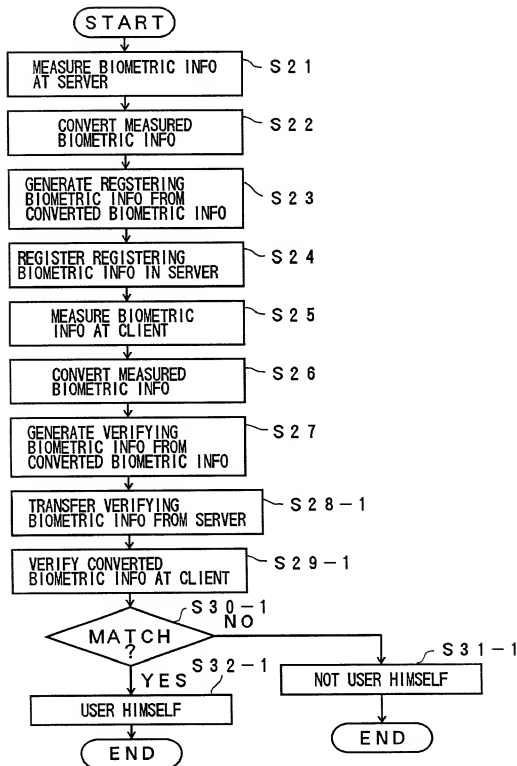


FIG. 12

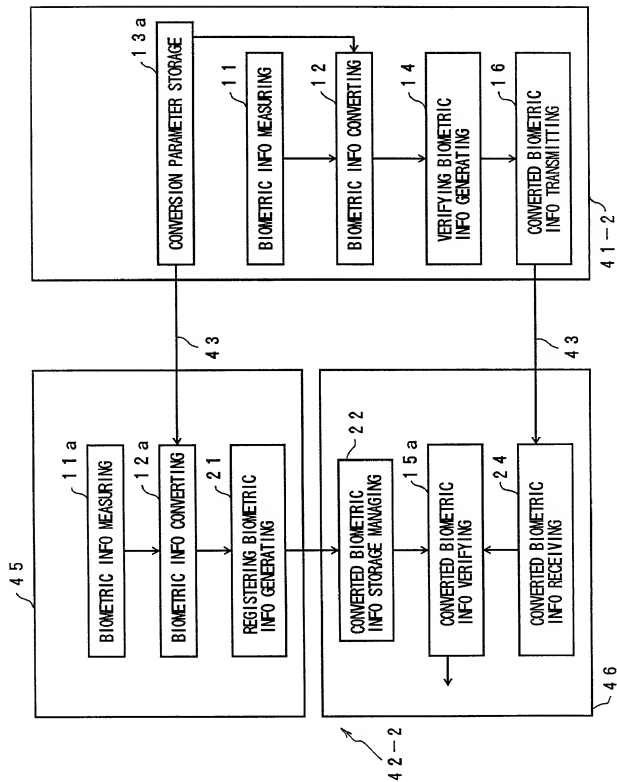
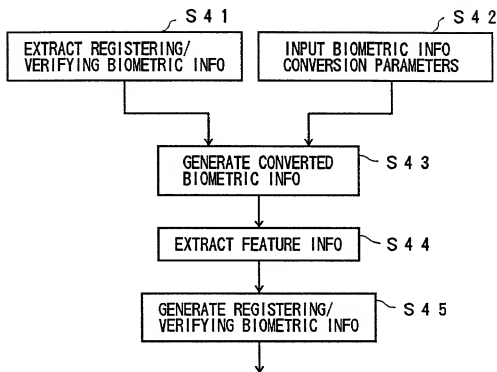


FIG. 13



00271449.034700

FIG.14A



FIG.14B



FIG.15A



FIG.15B



FIG.16A



FIG.16B



FIG.17A

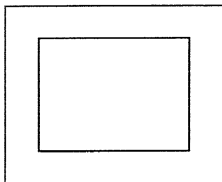


FIG.17B

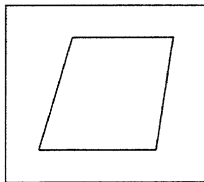
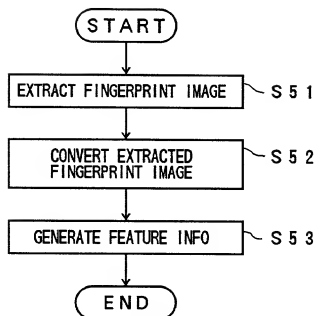


FIG. 18



00274200-003700

FIG.19A

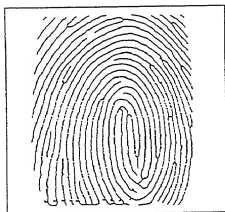
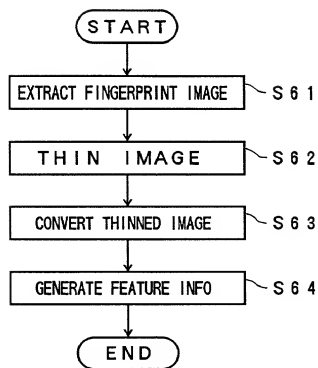


FIG.19B



FIG. 20



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名が発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

AUTHENTICATION APPARATUS AND

COMPUTER-READABLE STORAGE MEDIUM

上記発明の明細書（下記の欄でx印がついていない場合は、本表に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を_____とし、
（該当する場合）_____に訂正されました。☐ was filed on _____
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1章58項に定義されるとおり、特許資格の有無について重要な開示を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一つを指定している特許協力条約365(a)項に基づき出願し、又は外国での特許出願もしくは発明存続の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明存続の外国出願を以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)
外国での先行出願
Pat. Appln. No. 10-175836 Japan
(Number) (Country)
(番号) (国名)
(Number) (Country)
(番号) (国名)

Priority Not Claimed
優先権主張なし

23/June/1998
(Day/Month/Year Filed)
(出願年月日)
(Day/Month/Year Filed)
(出願年月日)

私は、第35編米国法典119条(a)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (Filing Date)
(出願番号) (出願日)

(Application No.) (Filing Date)
(出願番号) (出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)項に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願を提出日より本出願の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1.6条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.) (Filing Date)
(出願番号) (出願日)

(Status: Patented, Pending, Abandoned)
(状況: 特許許可済、出願中、放棄済)

(Application No.) (Filing Date)
(出願番号) (出願日)

(Status: Patented, Pending, Abandoned)
(状況: 特許許可済、出願中、放棄済)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づき表明が全て真実であると信じていること、さらに改ざりになされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような改ざりによる虚偽の表明を行なえば、出願した、又は出願に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration

(日本語宣言書)

委任状: 私は下記の発明者として、不出題に関する一切の
手続を米特許審判局に対して遂行する弁理士または代理人
として、下記の者を指名いたします。(弁理士、または代理
士氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint
the following attorney(s) and/or agent(s) to prosecute this
application and transact all business in the Patent and Trademark
Office connected therewith (list name and registration number)

James D. Halsey, Jr., 22,729; Harry John Staas, 22,010; David M. Pitcher, 25,908; John C. Garvey, 28,607; J. Randall Beckers, 30,358;
William F. Herbert, 31,024; Richard A. Golthofer, 31,106; Mark J. Henry, 36,162; Gene M. Garner II, 34,172; Michael D. Stein, 37,240; Paul
I. Kravetz, 35,230; Gerald P. Joyce, III, 37,648; Todd E. Mariette, 35,269; Harlan B. Williams, Jr., 34,756; George N. Stevens, 36,938;
Michael C. Soldner, P-41,455 and William M. Schertler, 35,348 (agent)

書類送付元

Send Correspondence to:

STAAS & HALSEY
700 Eleventh Street, N.W.
Suite 500
Washington, D.C. 20001

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

STAAS & HALSEY
(202) 434-1500

唯一または第一発明者名

Full name of sole or first inventor

Takashi Shinzaki

発明者の署名

日付

Inventor's signature

Date

Takashi Shinzaki

March 5, 1999

住所

Residence

Kawasaki-shi, Kanagawa, Japan

国籍

Citizenship

Japan

私書箱

Post Office Address

c/o FUJITSU LIMITED,
1-1, Kamikodanaka 4-chome, Nakahara-ku,

Kawasaki-shi, Kanagawa, 211-8588 Japan

第二共同発明者

Full name of second joint inventor, if any

Yusaku Fujii

第二共同発明者

日付

Second inventor's signature

Date

Yusaku Fujii

March 5, 1999

住所

Residence

Kawasaki-shi, Kanagawa, Japan

国籍

Citizenship

Japan

私書箱

Post Office Address

c/o FUJITSU LIMITED,
1-1, Kamikodanaka 4-chome, Nakahara-ku,

Kawasaki-shi, Kanagawa, 211-8588 Japan

(第三以降の共同発明者についても同様に記載し、署名をす
ること)

(Supply similar information and signature for third and subsequent
joint inventors.)